



# **Bibliography of Arctic Documents**

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(As of April 2017)

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# 1. Acronyms

ACAP Arctic Contaminants Action Program Arctic Council Working Group

AEC Arctic Economic Council

AIS Automatic Identification System (of Ships)

AMAP Arctic Monitoring and Assessment Program Arctic Council Working Group

Arctic 5 Arctic Ocean Circumpolar States (Canada, Denmark (Greenland), Norway, Russia, United

States)

Arctic 8 Member States of the Arctic Council (Canada, Denmark (Greenland), Finland, Iceland,

Norway, Russia, Sweden, United States)

ARHC Arctic Regional Hydrographic Commission

CAFF Conservation of Arctic Flora and Fauna Arctic Council Working Group

CLCS Commission on the Limits of the Continental Shelf

DOALOS UN Division for Ocean Affairs and Law of the Sea

EBM Ecosystem-Based Management Arctic Council Expert Group

EC European Commission

ECDIS Electronic Chart Display and Information Systems

ECS Extended Continental Shelf (beyond 200 nm of baselines)

EEZ Exclusive Economic Zone

EMM Environmental Ministers' Meeting, Arctic Council

ENC Electronic Navigational Charts

EPPR Emergency Preparedness, Prevention and Response Arctic Council Working Group

GBS Goal-based Standards

GNSS Global Navigation Satellite System

HTW IMO Sub-Committee on Human Element, Training and Watchkeeping

ICAO International Civil Aviation Organization

IHO International Hydrographic Commission

IMO International Maritime Organization

LOS UN Convention on the Law of the Sea (Law of the Sea Convention)

LRIT Long Range Identification and Tracking of Ships

MARPOL International Convention for the Prevention of Pollution from Ships, 1973, as modified by

the Protocol of 1978 thereto

MEPC IMO Maritime Environment Protection Committee

MSC IMO Maritime Safety Committee

MSI Arctic Marine Safety Information Services

NCSR IMO Sub-Committee on Navigation, Communications and Search and Rescue

nautical mile(s)

NOAA U.S. National Oceanographic and Atmospheric Administration

NORDREG Canadian Arctic Ship Reporting System

NSR Northern Sea Route (Russia)

NWP Northwest Passage (Canada)

PAME Protection of the Arctic Marine Environment Arctic Council Working Group

RFMO Regional Fisheries Management Organization

SAO Senior Arctic Officials of the Arctic Council

SAR Search and Rescue

SDC IMO Sub-Committee on Ship Design and Construction

SDWG Sustainable Development Arctic Council Working Group

SOLAS Safety of Life at Sea Convention, 1974, as amended

SSE IMO Sub-Committee on Ship Systems and Equipment

UNTS United Nations Treaty Series

USCG United States Coast Guard

WGS 84 World Geodetic System 1984

WMO World Meteorological Organization

WWNWS World Wide Navigational Warning Service

# 2. International Agreements

## 2.1 Multilateral International Agreements

Agreement on Cooperation on Aeronautical and Maritime Search and Rescue, Nuuk, Greenland, 12 May 2011, entered into force 19 January 2013, <a href="https://oaarchive.arctic-council.org/handle/11374/531">https://oaarchive.arctic-council.org/handle/11374/531</a>

Agreement on Cooperation on Marine Oil Pollution Preparedness and Response in the Arctic, Kiruna, Sweden, 15 May 2013, entered into force 25 March 2016, <a href="https://oaarchive.arctic-council.org/handle/11374/529">https://oaarchive.arctic-council.org/handle/11374/529</a>

Convention on the International Regulation for Preventing Collisions at Sea (COLREGS), 20 October 1972, London, United Kingdom, entered into force 15 July 1977, <a href="http://cil.nus.edu.sg/1972/1972-convention-on-the-international-regulations-for-preventing-collisions-at-sea/">http://cil.nus.edu.sg/1972/1972-convention-on-the-international-regulations-for-preventing-collisions-at-sea/</a>

Convention on the Prevention of Marine Pollution by Dumping of Wastes and other Matter (London Convention), 29 December 1972, Washington, Moscow, London, Mexico City, entered into force 30 August 1975, <a href="http://cil.nus.edu.sg/1972/1972-convention-on-the-prevention-of-marine-pollution-by-dumping-of-wastes-and-other-matter/">http://cil.nus.edu.sg/1972/1972-convention-on-the-prevention-of-marine-pollution-by-dumping-of-wastes-and-other-matter/</a>

International Convention for the Safety of Life at Sea (SOLAS), 1 November 1974, London, United Kingdom, entered into force 25 May 1980, <a href="http://cil.nus.edu.sg/1974/1974-international-convention-forthe-safety-of-life-at-sea/">http://cil.nus.edu.sg/1974/1974-international-convention-forthe-safety-of-life-at-sea/</a>

1978 Protocol relating to the 1973 International Convention for the Prevention of Pollution from Ships (including Annexes, Final Act and 1973 International Convention) (MARPOL 73/78), 17 February 1978, London, United Kingdom, entered into force 2 October 1983, <a href="http://cil.nus.edu.sg/1978/1978-protocol-relating-to-the-1973-international-convention-for-the-prevention-of-pollution-from-ships-including-annexes-final-act-and-1973-international-convention/">http://cil.nus.edu.sg/1978/1978-protocol-relating-to-the-1973-international-convention/</a>

International Convention on Standards of Training, Certification and Watchkeeping for Seafarers, as Amended by the 2010 Manila Amendments to the Annex (STCW Convention as Amended by the 2010 Manila Amendments), 7 July 1978, London, United Kingdom, entered into force 28 April 1984, <a href="http://cil.nus.edu.sg/1978/1978-international-convention-on-standards-of-training-certification-and-watchkeeping-for-seafarers-as-amended-by-the-2010-manila-amendments-to-the-annex/">http://cil.nus.edu.sg/1978/1978-international-convention-on-standards-of-training-certification-and-watchkeeping-for-seafarers-as-amended-by-the-2010-manila-amendments-to-the-annex/</a>

International Convention on Maritime Search and Rescue (SAR Convention), 27 April 1979, Hamburg, Germany, entered into force 22 June 1985, <a href="http://cil.nus.edu.sg/1979/1979-international-convention-on-maritime-search-and-rescue/">http://cil.nus.edu.sg/1979/1979-international-convention-on-maritime-search-and-rescue/</a>

United Nations Convention on the Law of the Sea (UNCLOS), 10 December 1982, Montego Bay, Jamaica, entered into force 16 November 1994, <a href="http://cil.nus.edu.sg/1982/1982-united-nations-convention-on-the-law-of-the-sea/">http://cil.nus.edu.sg/1982/1982-united-nations-convention-on-the-law-of-the-sea/</a>

International Convention on Oil Pollution Preparedness, Response and Co-operation (OPRC), 30 November 1990, London, United Kingdom, entered into force 13 May 1995, <a href="http://cil.nus.edu.sg/1990/1990-international-convention-on-oil-pollution-preparedness-response-and-co-operation/">http://cil.nus.edu.sg/1990/1990-international-convention-on-oil-pollution-preparedness-response-and-co-operation/</a>

# 2.2 Arctic Bilateral Maritime Boundary Agreements

#### 2.2.1 Canada-Denmark (Greenland)

Agreement between the Government of the Kingdom of Denmark and the Government of Canada relating to the Delimitation of the Continental Shelf between Greenland and Canada, Ottawa 17 December 1973, entered into force 13 March 1974, 950 UNTS 147, I International Maritime Boundaries 380-385, Limits in the Seas No. 72, http://www.state.gov/documents/organization/61370.pdf

#### 2.2.2 Denmark (Greenland)-Norway

Agreement between the Government of the Kingdom of Denmark and the Government of the Kingdom of Norway concerning the delimitation of the continental shelf in the area between the Faroe Islands and Norway and the boundary between the fishery zone near the Faroe Islands and the Norwegian economic zone, Copenhagen 15 June 1979, entered into force 3 June 1980, 1211 UNTS 169, II International Maritime

Boundaries

1717-1718, http://treaties.un.org/doc/Publication/UNTS/Volume%201211/v1211.pdf

Case Concerning the Maritime Delimitation in the Area between Greenland and Jan Mayen (Denmark v. Norway), 1993 ICJ Rep. 38, http://www.icj-cij.org/docket/files/78/6743.pdf

Agreement between the Government of the Kingdom of Norway on the one hand, and the Government of the Kingdom of Denmark together with the Home Rule Government of Greenland on the other hand, concerning the Delimitation of the Continental Shelf and the Fisheries one in the Area between Greenland and Svalbard, Copenhagen 20 February 2006, entered into force 2 June 2006, 2378 UNTS 21, VI International Maritime Boundaries 4529-4531, <a href="http://treaties.un.org/doc/Publication/UNTS/Volume%202378/v2378.pdf">http://treaties.un.org/doc/Publication/UNTS/Volume%202378/v2378.pdf</a>

#### 2.2.3 Norway-Russia

Agreement between the Royal Norwegian Government and the Government of the Union of Soviet Socialist Republics concerning the frontier between Norway and the USSR in the Varangerfjørd, Oslo 15 February 1957, entered into force 24 April 1957, 312 UNTS 323, II International Maritime Boundaries 1781-1789, Limits in the Seas No. 17, <a href="http://www.state.gov/documents/organization/61609.pdf">http://www.state.gov/documents/organization/61609.pdf</a>

Agreement between the Russian Federation and the Kingdom of Norway on Maritime Delimitation in the Varangerfjørd Area, Moscow 11 July 2007, entered into force 9 July 2008, 2526 UNTS 33, VI International Maritime Boundaries 4485-4487, <a href="https://treaties.un.org/doc/Publication/UNTS/Volume%202526/v2526.pdf">https://treaties.un.org/doc/Publication/UNTS/Volume%202526/v2526.pdf</a>

Treaty between the Kingdom of Norway and the Russian Federation concerning Maritime Delimitation and Cooperation in the Barents Sea and the Arctic Ocean, Murmansk 15 September 2010, entered into force 7 July 2011, ---- UNTS -----, https://treaties.un.org/doc/Publication/UNTS/No%20Volume/49095/Part/I-49095-08000002802f6f0e.pdf

#### 2.2.4 Russia-United States

Agreement between the United States of America and the Union of Soviet Socialist Republics on the Maritime Boundary, with Annex, Washington 1 June 1990, provisionally applied from 1 June 1990, Senate Treaty Document 101-22, http://www.state.gov/documents/organization/125431.pdf

# 3. Arctic Five Documents

Ilulissat Declaration, 28 May 2008, 48 International Legal Materials 370 (2009), <a href="https://www.oceanlaw.org/downloads/arctic/Ilulissat\_Declaration.pdf">www.oceanlaw.org/downloads/arctic/Ilulissat\_Declaration.pdf</a>

Chairman's Statement, Meeting on Arctic Fisheries, Nuuk, Greenland, 24-26 February 2014, <a href="http://www.oceansnorth.org/resources/chairmans-statement-meeting-arctic-fisheries-nuuk-greenland-february-2014">http://www.oceansnorth.org/resources/chairmans-statement-meeting-arctic-fisheries-nuuk-greenland-february-2014</a>

Press Release, Consensus to protect the central Arctic Ocean from unregulated fisheries, 26 February 2014,

http://www.oceansnorth.org/sites/default/files/attachments/Greenlandpress%20release%20english.pdf

Declaration Concerning the Prevention of Unregulated High Seas Fishing in the Central Arctic Ocean, 16 July 2015, Oslo, Norway, <a href="https://www.regjeringen.no/globalassets/departementene/ud/vedlegg/folkerett/declaration-on-arctic-fisheries-16-july-2015.pdf">https://www.regjeringen.no/globalassets/departementene/ud/vedlegg/folkerett/declaration-on-arctic-fisheries-16-july-2015.pdf</a>

## 4. Arctic Council Documents

# 4.1 Founding Documents

1991 Arctic Environmental Protection Strategy (http://library.arcticportal.org/1542/)

1996 Declaration on Establishment of the Arctic Council (The Ottawa Declaration) (http://library.arcticportal.org/1270/ and https://oaarchive.arctic-council.org/handle/11374/85)

2013 Arctic Council Rules of Procedure (Revised) (<a href="https://oaarchive.arctic-council.org/handle/11374/940">https://oaarchive.arctic-council.org/handle/11374/940</a>)

2013 Arctic Council Observer Manual for Subsidiary Bodies (<a href="https://oaarchive.arctic-council.org/handle/11374/939">https://oaarchive.arctic-council.org/handle/11374/939</a>)

# 4.2 Declarations Adopted at Ministerial Meetings

All available at: https://oaarchive.arctic-council.org/handle/11374/373

1998 Iqaluit Declaration

2000 Barrow Declaration

2002 Inari Declaration

2004 Reykjavik Declaration

2006 Salekhard Declaration

2009 Tromsø Declaration

2011 Nuuk Declaration

2013 Kiruna Declaration

2015 Iqaluit Declaration

2016 20th Anniversary Declaration - The Arctic Council: A Forum for Peace and Cooperation (https://oaarchive.arctic-council.org/handle/11374/1784)

# 4.3 Working Groups' Reports/Assessments

#### 4.3.1 Arctic Contaminants Action Program (ACAP)

Website page at: http://www.arctic-council.org/index.php/en/acap-home

ACAP Documents at: <a href="http://www.arctic-council.org/index.php/en/acap-home/acap-documents-and-reports">http://www.arctic-council.org/index.php/en/acap-home/acap-documents-and-reports</a>

ACAP Work Plan 2013-2015, <a href="http://www.arctic-council.org/index.php/en/document-archive/category/73-acap-work-plans?download=1787:acap-work-plan-2013-2015">http://www.arctic-council.org/index.php/en/document-archive/category/73-acap-work-plans?download=1787:acap-work-plan-2013-2015</a>

#### 4.3.2 Arctic Monitoring and Assessment Program (AMAP)

Website page at: <a href="http://www.amap.no/">http://www.amap.no/</a>

AMAP Assessment Reports at: <a href="http://www.amap.no/documents/18/assessment-reports/16">http://www.amap.no/documents/18/assessment-reports/16</a>

AMAP Work Plan 2015-2017, https://oaarchive.arctic-council.org/handle/11374/1443

#### 4.3.3 Conservation of Arctic Flora and Fauna (CAFF)

Website page at: http://www.caff.is/

CAFF Publications at: <a href="http://www.caff.is/publications">http://www.caff.is/publications</a>

CAFF Work Plan 2015-2017, https://oaarchive.arctic-council.org/handle/11374/1454

#### 4.3.4 Emergency Preparedness, Prevention and Response (EPPR)

Website page at: http://www.arctic-council.org/eppr/

EPPR Resources at: <a href="http://www.arctic-council.org/eppr/resources/">http://www.arctic-council.org/eppr/resources/</a>

### 4.3.5 Protection of the Arctic Marine Environment (PAME)

Website page at: <a href="http://www.pame.is/">http://www.pame.is/</a>

PAME Document Library:

PAME, Arctic Marine Shipping Assessment 2009 Report (AMSA), <a href="http://www.pame.is/images/03">http://www.pame.is/images/03</a> Projects/AMSA/AMSA 2009 report/AMSA 2009 Report 2nd print.pd f

PAME - AMSA, Status on Implementation (2011, 2013 and 2015), http://www.pame.is/index.php/document-library/amsa-documents

PAME, The Arctic Ocean Review Project, <a href="http://www.arctic-council.org/index.php/en/our-work2/8-news-and-events/327-arctic-ocean-review-project-aor">http://www.arctic-council.org/index.php/en/our-work2/8-news-and-events/327-arctic-ocean-review-project-aor</a>

PAME, Work Plan 2015-2017, http://www.pame.is/images/01\_PAME/Work\_Plan/PAME%20Work%20Plan%202015-2017.pdf

PAME, Arctic Offshore Oil and Gas Guidelines – Systems Safety Management and Safety Culture – Avoiding Major Disasters in Arctic Offshore Oil and Gas Operations, March 2014, https://oaarchive.arctic-council.org/handle/11374/418

#### 4.3.6 Sustainable Development (SDWG)

Website page at: <a href="http://www.sdwg.org/">http://www.sdwg.org/</a>

Terms of Reference for a Sustainable Development Programme, Framework Document (Chapeau) for the Sustainable Development, both available at: <a href="http://www.sdwg.org/about-us/sustainable-development-working-group-rules-and-procedures/">http://www.sdwg.org/about-us/sustainable-development-working-group-rules-and-procedures/</a>

SDWG Work Plan for 2015-2017, <a href="http://www.sdwg.org/wp-content/uploads/2016/03/SDWG\_WORK\_PLAN\_2015-2017.pdf">http://www.sdwg.org/wp-content/uploads/2016/03/SDWG\_WORK\_PLAN\_2015-2017.pdf</a>

## 4.4 National Arctic Strategy Documents

Introduction of the eight Member States of the Arctic Council are available at <a href="http://www.arctic-council.org/index.php/en/about-us/member-states">http://www.arctic-council.org/index.php/en/about-us/member-states</a>. National strategies are listed below with national websites (where available).

#### **4.4.1** Canada

Canada's Northern Strategy: Our North, Our Heritage, Our Future, 2009, <a href="https://www.international.gc.ca/arctic-arctique/northern\_strategy-strategie\_nord.aspx?lang=eng;">www.international.gc.ca/arctic-arctique/northern\_strategy-strategie\_nord.aspx?lang=eng;</a>; <a href="https://www.northernstrategy.gc.ca/cns/cns-eng.asp">www.northernstrategy.gc.ca/cns/cns-eng.asp</a>

Statement on Canada's Arctic Foreign Policy: Exercising Sovereignty and Promoting Canada's Northern Strategy Abroad, 20 August 2010, <a href="http://international.gc.ca/arctic-arctique/assets/pdfs/canada-arctic foreign-policy-eng.pdf">http://international.gc.ca/arctic-arctique/assets/pdfs/canada-arctic foreign-policy-eng.pdf</a> and <a href="http://international.gc.ca/polar-polaire/canada-arctic foreign-policy-booklet-">http://international.gc.ca/polar-polaire/canada-arctic foreign-policy-booklet-</a>

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#### 4.4.2 Denmark

Kingdom of Denmark Strategy for the Arctic 2011-2020, August 2011, <a href="http://ec.europa.eu/enterprise/policies/raw-materials/files/docs/mss-denmark\_en.pdf">http://ec.europa.eu/enterprise/policies/raw-materials/files/docs/mss-denmark\_en.pdf</a>

#### 4.4.3 Finland

Finland's Strategy for the Arctic Region 2013, <a href="http://vnk.fi/julkaisukansio/2013/j-14-arktinen-15-arktiska-16-arctic-17-saame/PDF/en.pdf">http://vnk.fi/julkaisukansio/2013/j-14-arktinen-15-arktiska-16-arctic-17-saame/PDF/en.pdf</a>

#### 4.4.4 Iceland

A Parliamentary Resolution on Iceland's Arctic Policy, 28 March 2011, <a href="http://www.arctic-council.org/index.php/en/document-archive/category/12-arctic-strategies?download=127:iceland-arctic-policy">http://www.arctic-council.org/index.php/en/document-archive/category/12-arctic-strategies?download=127:iceland-arctic-policy</a>

#### **4.4.5** Norway

The High North: Vision and Strategies 2011, www.regjeringen.no/upload/UD/Vedlegg/Nordomr&dene/UD\_nordomrodene\_EN\_web.pdf

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#### **4.4.6** Russia

Basics of the State Policy of the Russian Federation in the Arctic 2009, <a href="http://www.arctic-lio.com/docs/nsr/legislation/Policy">http://www.arctic-lio.com/docs/nsr/legislation/Policy</a> of the RF in the Arctic.pdf

#### **4.4.7** Sweden

Strategy for the Arctic Region 2011, www.government.se/content/1/c6/16/78/59/3baa039d.pdf

#### 4.4.8 United States

Arctic Region Policy, NSPD66/HSPD 25, 9 January 2009, <a href="www.fas.org/irp/offdocs/nspd/nspd-66.htm">www.fas.org/irp/offdocs/nspd/nspd-66.htm</a>

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US, DOD Arctic Strategy, November 2013, https://www.defense.gov/Portals/1/Documents/pubs/2013 Arctic Strategy.pdf.

US Arctic Marine Transportation System: Overview and Priorities for Action 2013, <a href="http://www.cmts.gov/downloads/CMTS%20U%20S%20%20Arctic%20MTS%20Report%20%2007-30-13.pdf">http://www.cmts.gov/downloads/CMTS%20U%20S%20%20Arctic%20MTS%20Report%20%2007-30-13.pdf</a>

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NOAA's Arctic Action Plan, April 2014, <a href="http://www.arctic.noaa.gov/NOAAarcticactionplan2014.pdf">http://www.arctic.noaa.gov/NOAAarcticactionplan2014.pdf</a>

U.S. Government Accountability Office, Maritime Infrastructure: Key Issues Related to Commercial Activity in the U.S. Arctic over the Next Decade, GAO-14-299, published: Mar 19, 2014, publicly released: Apr 18, 2014, <a href="http://www.gao.gov/products/GAO-14-299">http://www.gao.gov/products/GAO-14-299</a>

National Research Council, Responding to Oil Spills in the U.S. Arctic Marine Environment (2014), <a href="http://www.nap.edu/openbook.php?record\_id=18625">http://www.nap.edu/openbook.php?record\_id=18625</a>

US Government Accountability Office, Arctic Issues: Better Direction and Management of Voluntary Recommendations Could Enhance U.S. Arctic Council Participation, 16 May 2014, <a href="http://www.gao.gov/products/GAO-14-435">http://www.gao.gov/products/GAO-14-435</a>

US The White House, Executive Order – Enhancing Coordination of National Efforts in the Arctic, 21 January 2015, <a href="http://www.whitehouse.gov/the-press-office/2015/01/21/executive-order-enhancing-coordination-national-efforts-arctic">http://www.whitehouse.gov/the-press-office/2015/01/21/executive-order-enhancing-coordination-national-efforts-arctic</a>

US Department of Defense Report to Congress on Strategy to Protect United States National Security Interests in the Arctic Region, December 2016, <a href="https://www.defense.gov/Portals/1/Documents/pubs/2016-Arctic-Strategy-UNCLAS-cleared-for-release.pdf">https://www.defense.gov/Portals/1/Documents/pubs/2016-Arctic-Strategy-UNCLAS-cleared-for-release.pdf</a>.

See also https://www.state.gov/e/oes/ocns/opa/arc/

## 4.5 Expert Groups

All available at: http://www.arctic-council.org/index.php/en/about-us/subsidiary-bodies/expert-groups

Ecosystem-Based Management Expert Group (EBM), <a href="http://www.arctic-council.org/index.php/en/document-archive/category/87-expert-group-documents">http://www.arctic-council.org/index.php/en/document-archive/category/47-expert-group-documents</a>; <a href="http://www.arctic-council.org/index.php/en/document-archive/category/413-emm-2013">http://www.arctic-council.org/index.php/en/document-archive/category/413-emm-2013</a>

"Facilitating the Creation of the Arctic Economic Council," <a href="http://www.arctic-council.org/index.php/en/document-archive/category/122-press-information?download=2146:facilitating-the-creation-of-the-arctic-economic-council">http://www.arctic-council.org/index.php/en/document-archive/category/122-press-information?download=2146:facilitating-the-creation-of-the-arctic-economic-council</a>

#### 4.6 Task Forces

All available at: <a href="http://www.arctic-council.org/index.php/en/about-us/subsidiary-bodies/task-forces">http://www.arctic-council.org/index.php/en/about-us/subsidiary-bodies/task-forces</a>

Current Task Forces:

Task Force on Arctic Marine Cooperation (TFAMC)

Task Force on Telecommunications Infrastructure in the Arctic (TFTIA)

Scientific Cooperation Task Force (SCTF)

Past Task Forces:

(There are also seven Task Forces which have completed their work and are no longer operational.

Documents are available at links provided at <a href="http://www.arctic-council.org/index.php/en/about-us/subsidiary-bodies/task-forces">http://www.arctic-council.org/index.php/en/about-us/subsidiary-bodies/task-forces</a>).

Task Force on Arctic Marine Oil Pollution Prevention (TFOPP)

Task Force on Black Carbon and Methane (TFBCM)

Task Force to Facilitate the Circumpolar Business Forum (TFCBF)

Task Force for Institutional Issues (TFII)

Task Force on Search and Rescue

Task Force on Arctic Marine Oil Pollution Preparedness and Response

Task Force on Short-Lived Climate Forcers (SLCF)

#### 4.7 Observers

All available at: http://www.arctic-council.org/index.php/en/about-us/arctic-council/observers

Documents on Observer Reports, Observer Review, Guidelines and Procedural Documents and Observer Meetings are available at: <a href="https://oaarchive.arctic-council.org/handle/11374/1842">https://oaarchive.arctic-council.org/handle/11374/1842</a>.

# 4.8 Other Categories of Arctic Council Documents

In the Document Archive, all available at <a href="http://www.arctic-council.org/index.php/en/documents">http://www.arctic-council.org/index.php/en/documents</a>

**SAO** Meeting Documents

Ministerial Meeting Documents

**Declarations and SAO Reports** 

**Legally Binding Agreements** 

**Task Force Documents** 

**Expert Group Documents** 

Other Significant Documents

Observers

### 5. IMO Documents on the Arctic

# 5.1 Background Information

"Shipping in polar waters," http://www.imo.org/MediaCentre/HotTopics/polar/Pages/default.aspx

Workshop on Safe Ship Operations in the Arctic Ocean, 28 February 2014, <a href="http://www.imo.org/MediaCentre/HotTopics/polar/Pages/Arctic-Safe-Ship-Operations-Workshop-(2014).aspx">http://www.imo.org/MediaCentre/HotTopics/polar/Pages/Arctic-Safe-Ship-Operations-Workshop-(2014).aspx</a> (with links to 11 PowerPoint presentations)

Arctic Meteorological Conditions:

NCSR 1/27/3 (25 April 2014), Participation in the WMO Voluntary Observing Ships' Scheme (Canada, Iceland, Norway, Sweden and the United States) (observation of Arctic weather and ice conditions)

### 5.2 Polar Code

Full text of the Polar Code is available at: <a href="http://www.imo.org/en/MediaCentre/HotTopics/polar/Documents/POLAR%20CODE%20TEXT%20AS">http://www.imo.org/en/MediaCentre/HotTopics/polar/Documents/POLAR%20CODE%20TEXT%20AS</a> %20ADOPTED.pdf.

Documents available at <a href="http://docs.imo.org/">http://docs.imo.org/</a> (Registration required):

SDC 1/26 (11 February 2014), Report to MSC, paras. 3.1-3.69 and Annexes 1 (SOLAS amendment), 2 (MARPOL amendments), 3 (draft Polar Code)

SSE 1/21 (31 March 2014), Report to MSC, paras. 20.3-20.5

SSE 1/INF.8, draft Polar Code Chapters 8 and 9

HTW 1/21 (7 March 2014), Report to MSC, paras. 11.1-11.18 and Annex 3 (draft Polar Code Chapter 13, Manning and Training Familiarity) and Annex 12, Russian statement on Chapter 13

MEPC 66/11/13 (21 February 2014), use of goal-based standards, submission by the US

MSC 93/10/16 (25 March 2014), use of goal-based standards, submission by the US

MEPC 66/19 (31 March -4 April 2014), Report of MEPC (not yet published), paras. [11.19-11.53] and Annex [...]

MEPC 66/21 (25 April 2014), paras. [11.19-11.53], Polar Code matters

Circular Letter No. 3451 (20 May 2014), Annex 2 "Draft Amendments to the 1974 SOLAS"

MSC 93/WP.1/Add.1 (22 May 2014), "Draft Report of the Maritime Safety Committee on Its Ninety-Third Session", paras 10.44 and 10.50

MSC 93/22/Add.3 (9 June 2014), Annexes 23 "Draft New SOLAS Chapter XIV" and 24 "Draft International Code for Ships Operating in Polar Waters (Polar Code)"

NCSR 1/WP.1 (4 July 2014), paras. 23, "Development of a Mandatory Code for Ships Operating in Polar Waters"

MEPC 67/9 (10 July 2014), "Report of the Polar Code Correspondence Group Submitted by the United Kingdom"

MEPC 67/11 (11 July 2014), "Revision of the IMO Comprehensive Manual on Port Reception Facilities"

MSC 94/9 (16 July 2014), actions taken by NCSR 1 (page 4)

NCSR 1/28 (16 July 2014), Report to the MSC, Section 23 and Annexes 18-20

MSC 94/3/1 (30 July 2014), "Adoption of the International Code for Ships Operating in Polar Waters (Polar Code)

MSC 94/3 (31 July 2014), Annex 2, "Adoption of Amendments to the International Convention for the Safety of Life at Sea, 1974, As Amended", Chapter XIV "Safety Measures for Ships Operating in Polar Waters"

MEPC 67/20 (31 October 2014), Report of the MEPC on Its Sixty-Seventh Session, Section 9 "Mandatory Code for Ships Operating in Polar Waters", Annex 10 "Preamble, Introduction and Part II of the Draft International Code for Ships Operating in Polar Waters" and Annex 11 "Draft Amendments to MARPOL Annexes I, II, IV and V".

MSC 94/21 (26 November 2014), Report of the MSC on Its Ninety-Fourth Session, Annex 6 "Resolution MSC.385(94) – International Code for Ships Operating in Polar Waters (Polar Code)", Annex 7 "Resolution MSC.386(94) – Amendments to the International Convention for the Safety of Life at Sea (SOLAS), 1974, As Amended (New Chapter XIV)".

# 6. National Documents

# 6.1 National Arctic Legislation

#### **6.1.1** Canada

Arctic Waters Pollution Prevention Act 1970 (R.S.C., 1985, c.A-12), as amended, <a href="http://lawslois.justice.gc.ca/eng/acts/A-12/">http://lawslois.justice.gc.ca/eng/acts/A-12/</a>

Oceans Act (S.C. 1996, c. 31), as amended, <a href="http://laws-lois.justice.gc.ca/eng/acts/O-2.4/">http://laws-lois.justice.gc.ca/eng/acts/O-2.4/</a>

#### 6.1.2 Denmark (Greenland)

None Arctic specific

#### **6.1.3** Norway

None Arctic specific

#### **6.1.4** Russia

Federal Law on Amendments: Northern Sea Route Federal Law (2012), <a href="http://www.arctic-lio.com/docs/nsr/legislation/federal\_law\_nsr.pdf">http://www.arctic-lio.com/docs/nsr/legislation/federal\_law\_nsr.pdf</a>

#### 6.1.5 United States

Joint Resolution on negotiation of an agreement for managing migratory and transboundary fish stocks in the Arctic Ocean, Public Law 110-243, 3 June 2008, <a href="http://www.gpo.gov/fdsys/pkg/PLAW-110publ243.htm">http://www.gpo.gov/fdsys/pkg/PLAW-110publ243.htm</a>

No other Arctic specific legislation

# 6.2 National Arctic Regulations

#### **6.2.1** Canada

Straight baselines, Order-in-Council P.C. 1985-2739, eff. 1 January 1986; Oceans Act Regulations, Territorial Sea Geographical Coordinates (Area 7) Order (SOR/85-872), <a href="http://laws-lois.justice.gc.ca/eng/regulations/SOR-85-872/index.html">http://laws-lois.justice.gc.ca/eng/regulations/SOR-85-872/index.html</a>

Arctic Waters Pollution Prevention Regulations (C.R.C., c. 354), as amended, <a href="http://laws-lois.justice.gc.ca/eng/regulations/C.R.C.">http://laws-lois.justice.gc.ca/eng/regulations/C.R.C.</a>, c. 354/

Arctic Shipping Pollution Prevention Regulations (C.R.C., c.353), as amended, <a href="http://laws-lois.justice.gc.ca/eng/regulations/C.R.C.">http://laws-lois.justice.gc.ca/eng/regulations/C.R.C.</a>, c. 353/FullText.html

Northern Canada Vessel Traffic Services Zone Regulations (NORDREG) (SOR 2010-127), <a href="http://laws-lois.justice.gc.ca/eng/regulations/SOR-2010-127/FullText.html">http://laws-lois.justice.gc.ca/eng/regulations/SOR-2010-127/FullText.html</a>; <a href="http://www.ccg-gcc.gc.ca/eng/MCTS/Vtr\_Arctic\_Canada">http://www.ccg-gcc.gc.ca/eng/MCTS/Vtr\_Arctic\_Canada</a>

Ice Navigation in Canadian Waters (2012, published by the Canadian Coast Guard as guideline no binding force), http://www.ccg-gcc.gc.ca/folios/00913/docs/ice-navigation-dans-les-galces-eng.pdf

#### 6.2.2 Denmark (Greenland)

None Arctic specific

#### **6.2.3** Norway

None Arctic specific

#### 6.2.4 Russia

Rules of Navigation in Northern Sea Route Area (2013), <a href="http://www.arctic-lio.com/docs/nsr/legislation/20130425185806en-Rules\_unof.pdf">http://www.arctic-lio.com/docs/nsr/legislation/20130425185806en-Rules\_unof.pdf</a>

#### 6.2.5 United States

Fishery Management Plan for Fish Resources of the Arctic Management Area and Amendment 29 to the Fishery Management Plan for Bering Sea/Aleutian Islands King and Tanner Crabs, https://alaskafisheries.noaa.gov/sustainablefisheries/arctic/

Implementing regulations for Arctic FMP and Crab FMP, eff. 3 December 2009, 74 Federal Register 56735-56745, 3 November 2009, <a href="https://alaskafisheries.noaa.gov/regs/summary.htm">https://alaskafisheries.noaa.gov/regs/summary.htm</a>

Coast Guard Maritime Commons on the Polar Code, <a href="http://mariners.coastguard.dodlive.mil/tag/polar-code/">http://mariners.coastguard.dodlive.mil/tag/polar-code/</a>

#### 6.3 Extended Continental Shelf Claims in the Arctic

"Oceans and the Law of the Sea: Report of the Secretary-General," UN doc. A/57/57/Add.1, 8 October 2002, at paragraph 41, <a href="http://daccess-dds-ny.un.org/doc/UNDOC/GEN/N02/629/28/PDF/N0262928.pdf?OpenElement">http://daccess-dds-ny.un.org/doc/UNDOC/GEN/N02/629/28/PDF/N0262928.pdf?OpenElement</a>

"44. *Election of the members of the Commission*. According to article 2, paragraph 3, of annex II to the Convention, no less than three members of the Commission shall be elected from each geographical region. After consultations and in accordance with the understanding reached by the twelfth Meeting on 18 April 2002, for the purpose of conducting this election, the members of the Commission were elected as follows:

four members from the Group of African States; six members from the Group of Asian States; three members from the Group of Eastern European States; four members from the Group of Latin American and Caribbean States; and four members from the Group of Western European and Other States."

#### **6.3.1** Canada

Preliminary information, 6 December 2013, www.un.org/Depts/los/clcs\_new/submissions\_files/preliminary/can\_pi\_en.pdf; http://www.international.gc.ca/arctic-arctique/continental/index.aspx?lang=eng

#### 6.3.2 Denmark

The Continental Shelf Project, <a href="http://a76.dk/lng\_uk/mail.html/">http://a76.dk/lng\_uk/mail.html/</a>

Partial Submission of the Government of the Kingdom of Denmark together with the Government of Greenland to the CLCS The Northern Continental Shelf of Greenland, 15 December 2014, <a href="http://www.un.org/Depts/los/clcs">http://www.un.org/Depts/los/clcs</a> new/submissions files/dnk76 14/dnk2014 es.pdf

#### **6.3.3** Norway

Submission for the Loop Hole in the Barents Sea, the Western Nansen Basin in the Arctic, and the Banana Hole in the Norwegian Sea, 27 November 2006, and CLCS favorable recommendations 27 March 2009, <a href="https://www.un.org/Depts/los/clcs\_new/submissions\_files/submission\_nor.htm">www.un.org/Depts/los/clcs\_new/submissions\_files/submission\_nor.htm</a>

#### **6.3.4** Russia

Submission 20 December 2001 with CLCS recommendation for additional information 2002, <a href="https://www.un.org/Depts/los/clcs\_new/submissions\_files/submission\_rus.htm">www.un.org/Depts/los/clcs\_new/submissions\_files/submission\_rus.htm</a>

#### 6.3.5 United States

Extended Continental Shelf Project, www.continentalshelf.gov

# 7. Other Arctic Websites

Arctic Centre, University of Lapland, <a href="http://www.arcticcentre.org/InEnglish">http://www.arcticcentre.org/InEnglish</a>

Arctic Climate Change, Economy and Society (ACCESS), www.access-eu.org

Arctic Council Indigenous Peoples Secretariat (IPS), <a href="http://www.arcticpeoples.org/">http://www.arcticpeoples.org/</a>

Arctic Council, <a href="http://www.arctic-council.org/index.php/en/">http://www.arctic-council.org/index.php/en/</a>

Arctic Options, Holistic Integration for Arctic Coastal-Marine Sustainability, <a href="http://arcticoptions.org">http://arcticoptions.org</a>

Arctic Portal-The Arctic Gateway (Akureyri, Iceland, comprehensive gateway to Arctic information and data), <a href="http://arcticportal.org">http://arcticportal.org</a>

EC Maritime Affairs and Fisheries, Legal Aspects of Arctic Shipping Summary Report (2010), <a href="http://bookshop.europa.eu/en/legal-aspects-of-arctic-shipping-pbKL1030367/">http://bookshop.europa.eu/en/legal-aspects-of-arctic-shipping-pbKL1030367/</a>

Forum of Arctic Research Operators, <a href="http://faro-arctic.org/">http://faro-arctic.org/</a>

GeoPolitics in the High North,

http://www.geopoliticsnorth.org/index.php?option=com\_content&view=frontpage&Itemid=1

International Arctic Science Committee, http://www.iasc.info/home/networks

National Snow and Sea Ice Data Center, http://nsidc.org/

Northern Sea Route Information Office, <a href="http://www.arctic-lio.com/">http://www.arctic-lio.com/</a>

State of the Arctic Coast 2010: Scientific Review and Outlook – The Report, http://arcticcoasts.org/

The Arctic Institute: Center for Circumpolar Security Studies (Washington DC), <a href="http://www.thearcticinstitute.org/">http://www.thearcticinstitute.org/</a>

The Arctic Journal, <a href="http://arcticjournal.com/">http://arcticjournal.com/</a>

The Northern Forum, http://www.northernforum.org/

The Pew Charitable Trust, Oceans North: Protecting Life in the Arctic, http://oceansnorth.org/

The University of the Arctic, http://uarctic.org/Frontpage.aspx?m=3

US Committee on the Marine Transportation System, <a href="http://www.cmts.gov/">http://www.cmts.gov/</a>

US National Ice Center/Naval Ice Center, <a href="www.natice.noaa.gov/Main\_Products.htm">www.natice.noaa.gov/Main\_Products.htm</a>

# 8. Academic Writings on the Arctic

Anderson, Captain William R., *The Ice Diaries: The True Story of One of Mankind's Greatest Adventures* (Thomas Nelson 2008)

Brigham, Lawson W. (ed.), The Soviet Maritime Arctic (U.S. Naval Institute Press 1991)

Brubaker, Douglas, *The Russian Arctic Straits* (Martinus Nijhoff 2005)

Brubaker, R. Douglas, *Environmental Protection of Arctic Waters: Specific Focus on the Russian Northern Sea Route* (University of Stockholm 2002)

Butler, William E., Northeast Arctic Passage (Sijthoff & Noordhoff 1978)

Byers, Michael, *International Law and the Arctic* (Cambridge 2013)

Byers, Michael, Who Owns the Arctic: Understanding Sovereignty Disputes in the North (Douglas & McIntyre 2010)

Herrmann, Thora Martina, and Thibault Martin, *Indigenous Peoples' Governance of Land and Protected Territories in the Arctic* (Springer, 2016)

Franckx, Erik, Maritime Claims in the Arctic: Canadian and Russian Perspectives (Martinus Nijhoff 1993)

Kraska, James (ed.), Arctic Security in an Age of Climate Change (Cambridge 2011)

McDorman, Ted L., Salt Water Neighbors: International Ocean Law Relations between the United States and Canada (Oxford 2009)

Molenaar, Erik J., Alex G. Oude Elferink and Donald R. Rothwell (eds.), *The Law of the Sea and Polar Regions: Interactions Between Global and Regional Regimes* (Martinus Nijhoff 2013)

Nord, Douglas, C., *The Changing Arctic: Creating a Framework for Consensus Building and Governance within the Arctic Council* (Imprint: Palgrave Macmillan, 2016)

Nordquist, Myron, John Norton Moore and Alexander S. Skaridov (eds.), *International Energy Policy, the Arctic and the Law of the Sea* (Martinus Nijhoff 2005)

Nordquist, Myron, John Norton Moore and Tomas J. Heidar (eds.), *Changes in the Arctic Environment and the Law of the Sea* (Martinus Nijhoff 2010)

Oude Elferink, Alex G. and Donald R. Rothwell (eds.), *The Law of the Sea and Polar Maritime Delimitation and Jurisdiction* (Martinus Nijhoff 2001)

Pharand, Donat, Canada's Arctic Waters in International Law (Cambridge 2009)

Roach, J Ashley and Robert W. Smith, *Excessive Maritime Claims* (3<sup>rd</sup> edn, Martinus Nijhoff 2012) (Chapter 17)

Roach, J Ashley, "The Arctic – Legal Considerations," CIL Seminar Presentation 2011, http://cil.nus.edu.sg/programmes-and-activities/past-events/cil-seminar-series-the-arctic/

Rothwell, Donald R., *The Polar Regions and the Development of International Law* (Cambridge 1996)

Sakhuja, Vijay, and Kapil Narula, *Asia and the Arctic: Narratives, Perspectives and Policies* (Springer, 2016)

Stokke, Olav Schram and Geir Honneland (eds), *International Cooperation and Arctic Governance: Regime Effectiveness and Northern Region Building* (Routledge 2007)

Vidas, Davor, Arctic Development and Environmental Challenges: Information Needs for Decision-making and International Co-operation: Papers from a Nordic Policy Seminar, Arendal, Norway, September 8-10, 1996 (Scandinavian Seminar College 1997)

Williams, Marion D., Submarines under Ice: The U.S. Navy's Polar Operations (Naval Institute Press 1998)

Young, Oran R., Creating Regimes: Arctic Accords and International Governance (Cornell University Press 1998)