IMO AND THE ARCTIC: Developments since Bergen

Captain J. Ashley Roach, JAGC, USN (retired)
Office of the Legal Adviser
U.S. Department of State (retired)
Senior Visiting Scholar and Global Associate
Center for International Law NUS Singapore
COLP Annual Meeting Shanghai 26 June 2015

Preview

- The International Maritime Organization (IMO)
- Polar Code
- Tacit Acceptance Procedure
- Geographic Scope of Polar Code
- Application to classes of ships
- Seafarer Training and Certification
- Potential New Measures Affecting Arctic Shipping
- Black Carbon
- IMO Guidelines
- Arctic Marine Safety Information Services

The IMO

- UN Specialized Agency responsible for measures to improve safety and security of international shipping and to prevent marine pollution from ships
- Also involved in maritime security and legal issues
- Structure
 - Assembly (meets biennially next Nov/Dec 2015)
 - Council (meets twice yearly next week & December 2015)
 - Committees: MSC, MEPC, Legal, TC, FAL
 - Sub-Committees: NCSR, CCC, HTW, III, PPR, SDC, SSE (@ meet once yearly)

Polar Code

- International Code for Ships Operating in Polar Waters
- Drafted by IMO DE Sub-Committee and Correspondence Group (Norway lead), with input from other subcommittees
- Completed in 2015
- To be mandatory, through amendments to SOLAS and MARPOL, effective 1 January 2017
- Common provisions plus tailored separate mandatory and guidance provisions for safety and marine pollution
- Applicable to Arctic and Antarctic waters
- To replace Guidelines for Ships Operating in Polar Waters, 2009

Polar Code Structure

- Mandatory measures covering
 - Part I-A: safety
 - Part II-A: pollution prevention
- Recommendatory provisions covering
 - Part I-B: safety
 - Part II-B: pollution prevention
- New SOLAS chapter XIV, Safety measures for ships operating in polar waters: MSC 93, May 2014 and MSC 94, November 17-21, 2014, considered Parts I-A and I-B
- New chapters for MARPOL Annexes I, II, IV and V: MEPC 66, April 2014; MEPC 67, October 13-17, 2014; and MEPC 68, May 2015, considered Parts II-A and II-B

Polar Code Safety Chapters

Mandatory	Part I-A Safety Measures
Introduction	
1- General	7 - Fire Safety/Protection
2 – Polar Water Operational Manual	8 – Life-saving Appliances and Arrangements
3 – Ship Structure	9 - Safety of Navigation
4 –Subdivision and Stability	10 – Communication
5 - Watertight and Weathertight Integrity	11 - Voyage Planning
6 - Machinery Installations	12 – Manning and Training

Polar Code Safety Recommendations

- Part I-B Additional Guidance
- Regarding Introduction and all chapters in Part I-A

Polar Code Pollution Measures

- Part II-A: Mandatory chapters on prevention of pollution by
- 1 Oil
- 2 Noxious liquid substances in bulk
- 4 Sewage from ships
- 5 Garbage from ships

Polar Code Pollution Guidance

- Part II-B: Additional guidance to Part II-A
- To chapters 1 (oil), 2 (NLS) and 5 (garbage)
- Under other environmental conventions and guidelines

Tacit Acceptance Procedure

	Committee Meeting	Interval	Committee Meeting	Interval	Entry into force
SOLAS Article VIII Amendments to Regulations except Chapter I	MSC 93 approved for circulation new Chapter XIV May 2014	Minimum 6 months	MSC 94 adopted Nov. 2014	Minimum one year	Deemed accepted 1 July 2016 EIF 1 January 2017
MARPOL Article 16 Amendments to Annexes I, II, IV, V	MEPC 67 approved amends for circulation October 2014	Minimum 6 months	MEPC 68 adopted May 2015	Minimum 10 months	Deemed accepted 1 July 2016 EIF 1 January 2017

Geographic Scope of Polar Code



Application

- New SOLAS Chapter XIV Regulation 2:
- To ships operating in polar waters, certified i.e. >500 gt whether or not on international voyages
 - But no "express" inclusion of SOLAS vessels on domestic voyages as required by SOLAS Regulations I/I(a) and I/3(a)
- Not applicable to ships entitled to sovereign immunity
 - Encouraged to act in a manner, so far as reasonable and practicable, with Polar Code
- Nothing in Polar code shall prejudice rights and obligations of States under international law

Application to other ships

- After Code is adopted intend to expand to some ships now exempt from SOLAS
 - Fishing vessels
 - Ships < 500 gt
- By amendment to SOLAS chapter XIV that must "expressly" add them as required by SOLAS Regulations I/1(a) and I/3(a)

Seafarer Training

- Now no requirements, especially for ice navigator
- STCW Manila Conference June 2010:
 - Guidance regarding training of masters and officer for ships operating in polar waters (STCW Section B-V/g)
 - Resolution 11, Measures to ensure the competency of masters and officers of ships operating in polar waters
- HTW 1 (February 2014):
 - invited MSC 89 (May 2011) to endorse chapter 12 on familiarity
 - Drafting amendments to STCW resumed at HTW 2 in February 2015 (Agenda Item 9)
- No mandatory requirements until two years after Polar Code enters into force

Seafarer Training (2)

- HTW 2 (February 2015):
 - Drafted amendments to STCW (1978), and parts A and B to STCW Code
 - Mandatory minimum requirements for masters, chief mates and officers in charge of navigational watch on ships operating in polar waters
 - Basic and advanced training; meet standards of competence and completed approved seagoing service or two or three months on board ship operating in polar waters
 - Considered at MSC 95 (June 2015) and approved for circulation and adoption at MSC 96 (May 2016) with EIF 1 January 2018

Tacit Acceptance Procedure (2)

	Committee Meeting	Interval	Committee Meeting	Interval	Entry into force
STCW Article XII Amendments to Annex	MSC 95 approved for circulation June 2015	Minimum 6 months	MSC 96 adoption May 2016	Minimum one year	Dates to be set at MSC 96 Deemed accepted 1 July 2017 (T) EIF 1 January 2018 (T)

Future Work on Polar Code

- Consideration of extending the scope to non-SOLAS ships, i.e., those under 500 gt and fishing vessel
 - To assist, MSC 95 called for information on any incident involving non-SOLAS ship operating in polar waters to be reported to MSC 96
- Developing guidance on methodology for determining limitations for operation in ice for structural risk assessment
 - Correspondence group to report to MSC 96
- SSE 3 to consider whether additional performance or test standards for fire safety/protection and life-saving appliances and arrangements in relation to the Polar Code are necessary, and advise MSC 96 on the best way to proceed

Future Work on Polar Code (2)

- MEPC 67 stressed that any future amendments to the Polar Code to introduce additional or new environment-related requirements requires approval by the Committee as a new output in accordance with the Committee's Guidelines, MSC-MEPC.1/Circ.4/Rev.2, 8 June 2012, as amended
- Environmental observers at MEPC 67 criticized Polar Code for focusing more on prevention of pollution than on environmental protection more broadly

Possible New Measures

- Routeing and Reporting Systems
- Vessel Traffic Services
- Specially designated Arctic marine areas
 - MARPOL Special Areas
 - Particularly Sensitive Sea Areas
 - Emission Control Areas
 - Marine Protected Areas
- Ballast water controls
- Anti-Fouling

Black Carbon (BC)

- "Soot" from ships' engine exhaust deposited on ice and snow increases melting through absorption of the sun's rays
- Little more is presently known and being studied
- MEPC 68 adopted definition prepared by PPR 2
- PPR 3 to identify ways to measure BC
- MEPC 68 noted not yet possible to devise measures to control BC emissions from international shipping in the Arctic Ocean
- No time table for completion
- Arctic Council addressing through EPPR WG on BC

IMO Guidelines

- Guidelines for Ships Operating in Polar Waters, 2009
- Enhanced Contingency Planning Guidance for Passenger Ships Operating in Areas Remote from SAR Facilities (2006)
- Guidelines for Voyage Planning for Passenger Ships Operating in Remote Areas (2007)
- Guide for Cold Water Survival (2006)
- Guide on Oil Spill Response in Ice and Snow Conditions (almost finalized – to be considered at PPR 3 (February 2016))

Arctic MSI Services

- Maritime Safety Information Service (MSI) is an internationally coordinated network of broadcasts of MSI from official information providers by
 - National Hydrographic Offices for navigational warnings and chart correction data
 - National Meteorological Officer, for weather warnings and forecasts
 - Rescue Co-ordination Centers (RCCs), for shore-to-ship distress alerts
 - International Ice Patrol, for oceanic ice hazards
- Revised International SafetyNET Manual
 - IMO MSC.1/Circ. 1364, 24 May 2010
- Revised Joint IMO/IHO/WMO Manual on Maritime Safety Information (MSI), IMO MSC.1/Circ. 1310, 8 June 2010
- 2d Revised Joint IMO/IHO/WMO Manual on MSI, IMO MSC.1/Circ. 1310/Rev.1, 21 November 2014, effective 1 January 2016 (MSC 94/21, para. 9.28)

Thank you for your attention

Any questions?

jashleyr@yahoo.com