<u>Annex 1</u>

Exclusion List Of A Party For Products Excluded From The Early Harvest Programme Under Article 6(3)(a)(i)

- A. The following parties have completed their negotiations with respect to one another, and their Exclusion Lists are as follows:
 - 1. ASEAN
 - (a) Brunei: No exclusion of any product.
 - (b) Cambodia:

| S/No | HS Code/Product Description (Cambodia) | HS Code/Product Description (China) |
|------|---|--|
| 1. | 0103.92.00 Weighing 50 kg or more | China shall, as soon as is practically possible after the date of signature of this Agreement, provide the HS codes and product descriptions that will correspond to the HS codes and product descriptions of the product set out in the 2nd column of this table |
| 2. | 0207.11.00 Not cut in pieces, fresh or chilled | ditto |
| 3. | 0207.12.00 Not cut in pieces, frozen | ditto |
| 4. | 0207.13.00 Cuts and offal, fresh or chilled | ditto |
| 5. | 0207.14.10 Wings | ditto |

| S/No | HS Code/Product Description (Cambodia) | HS Code/Product Description (China) |
|------|---|--|
| | 0207.14.20 | |
| 6. | Thighs | ditto |
| | 0207.14.30 | |
| 7. | Livers | ditto |
| | 0207.14.90 | |
| 8. | Other | ditto |
| | 0301.93.00 | |
| 9. | Carp | ditto |
| | 0702.00.00 | |
| 10. | Tomatoes, fresh or chilled | ditto |
| | 0703.10.10 | |
| 11. | Onions | ditto |
| | 0703.20.00 | |
| 12. | - Garlic | ditto |
| | 0704.10.10 | |
| 13. | Cauliflowers | ditto |

| S/No | HS Code/Product Description (Cambodia) | HS Code/Product Description (China) |
|----------|---|--|
| | 0704.10.20 | |
| 14. | Headed broccoli | ditto |
| | 0704.90.10 | |
| 15. | Cabbages | ditto |
| | 0704.90.90 | |
| 16. | Other | ditto |
| <u></u> | 0705.11.00 | · · · · · · · · · · · · · · · · · · · |
| 17. | Cabbage lettuce (head lettuce) | ditto |
| <u> </u> | 0705.19.00 | |
| 18. | Other | ditto |
| | 0706.10.10 | |
| 19. | Carrots | ditto |
| | 0706.10.20 | |
| 20. | Turnips | ditto |
| | 0706.90.00 | |
| 21. | - Other | ditto |
| 22. | 0708.20.00 | ditto |

| S/No | HS Code/Product Description (Cambodia) | HS Code/Product Description (China) |
|------|---|--|
| · • | - Beans (vigna spp., Phaseolus spp.) | |
| | 0709.90.00 | ······································ |
| 23. | - Other | ditto |
| | 0801.19.00 | |
| 24. | Other | ditto |
| | 0804.30.00 | |
| 25. | - Pineapples | ditto |
| | 0804.50.00 | - <u></u> |
| 26. | - Guavas, mangoes and mangosteens | ditto |
| | 0805.10.00 | •• <u> </u> |
| 27. | - Oranges | ditto |
| | 0807.11.00 | <u></u> |
| 28. | Watermelons | ditto |
| 29. | 0807.19.00 | |
| | Other | ditto |
| | 0810.90.20 | |
| 30. | Longans | ditto |

- (c) Indonesia: No exclusion of any product¹.
- (d) Myanmar: No exclusion of any product.
- (e) Singapore: No exclusion of any product.
- (f) Thailand: No exclusion of any product.
- (g) Vietnam:

| S/No | HS Code/ Product Description (Vietnam) | HS Code/ Product Description (China) |
|------|--|--|
| | 0105 | 0105 |
| | Live poultry, that is to say, fowls or the species Gallus Domesticus, ducks. Geese, turkeys and guinea fowls | Live poultry, that is to say, fowls or the species Gallus Domesticus, ducks. Geese, turkeys and guinea fowls |
| 1. | 010511900 | 01051190 |
| | -Weighing not more than 185 g: Fowls of the species Gallus Domesticus: Other | -Weighing not more than 185 g: Fowls of the species Gallus Domesticus: Other |
| 2. | 010592900 | 01059290 |
| | -Other: Fowls of the species Gallus Domesticus, weighing not more than 2,000 g: Other | -Other: Fowls of the species Gallus Domesticus, weighing not more than 2,000 g: Other |
| 3. | 010593000 | 01059310 |
| | -Other: Fowls of the species Gallus Domesticus weighing more than 2,000 g: | -Other: Fowls of the species Gallus Domesticus weighing more than 2,000 g: Pure-bred breeding |
| | | 01059390 |
| | | -Other: Fowls of the species Gallus |

¹ Sweet Corn (HS 071010000) from Indonesia is subject to the multilateral negotiations in the WTO.

| S/No | HS Code/ Product Description (Vietnam) | HS Code/ Product Description |
|------|--|--|
| | (vietnam) | (China) Domesticus weighing more than 2,000 |
| | | , |
| | | g: Other |
| 4. | 010599900 | 01059991 |
| | | |
| | -Other: | -Other: |
| | Other: | Other: |
| | Other | Other |
| | | Ducks |
| | | 01059992 |
| | | -Other: |
| | | Other: |
| | | Other |
| | | Geese |
| | | 01059993 |
| | | -Other: |
| | | Other: |
| | | Other |
| | | Guinea fowls |
| | | 01059994 |
| | | -Other: |
| | | Other: |
| | | Other |
| | | Turkeys |
| | 0207 | 0207 |
| | Meat and edible offal, of the poultry of heading No. 01.03, fresh, chilled or frozen | Meat and edible offal, of the poultry of heading No. 01.05, fresh, chilled or frozen |
| 5. | 020711000 | 02071100 |
| | -Of fowls of the species Gallus | -Of fowls of the species Gallus |
| | domesticus: | domesticus: |
| | Not cut in pieces, fresh or chilled | Not cut in pieces, fresh or chilled |
| 6. | 020712000 | 02071200 |
| | -Of fowls of the species Gallus | -Of fowls of the species Gallus |
| | domesticus: | domesticus: |
| | Not cut in pieces, frozen | Not cut in pieces, frozen |
| | | <u> </u> |

| S/No | HS Code/ Product Description (Vietnam) | HS Code/ Product Description (China) |
|------|--|--|
| | -Of fowls of the species Gallus domesticus: Cuts and offal, fresh or chilled | -Of fowls of the species Gallus domesticus: Cuts and offal, fresh or chilled: Cuts: With bone |
| | | 02071319 |
| | | -Of fowls of the species Gallus domesticus: Cuts and offal, fresh or chilled: Cuts Other |
| | | 02071321 |
| | | -Of fowls of the species Gallus domesticus: Cuts and offal, fresh or chilled: Offal: Midjoint wing |
| | | 02071329 |
| | | -Of fowls of the species Gallus domesticus: Cuts and offal, fresh or chilled: Offal: Other |
| 8. | 020714000 | 02071411 |
| | -Of fowls of the species Gallus domesticus: Cuts and offal, frozen | -Of fowls of the species Gallus domesticus: Cuts and offal, frozen: Cuts: With bone |
| | | 02071419 |
| | | -Of fowls of the species Gallus domesticus: Cuts and offal, frozen: Cuts: Other |
| | | 02071421 |
| | | -Of fowls of the species Gallus domesticus: |

| S/No | HS Code/ Product Description (Vietnam) | HS Code/ Product Description (China) |
|------|--|--|
| | | Cuts and offal, frozen: Offal: |
| | | Midjoint wing |
| | | 02071429 |
| | | -Of fowls of the species Gallus |
| | | domesticus: Cuts and offal, frozen: |
| | | Offal: |
| | | Other |
| 9. | 020726000 | 02072600 |
| | -Of turkeys: | -Of turkeys: |
| | Cuts and offal, fresh or chilled | Cuts and offal, fresh or chilled |
| 10. | 020727000 | 02072700 |
| | -Of turkeys: | -Of turkeys: |
| | Cuts and offal, frozen | Cuts and offal, frozen |
| | 0407 | 0407 |
| | Birds' eggs, in shell, fresh, preserved or cooked | Birds' eggs, in shell, fresh, preserved or cooked |
| 11. | 040700100 | 04070010 |
| | -For hatching | For hatching |
| 12. | 040700900 | 04070021 |
| | -Other | Other, in shell, fresh: Of hens |
| | | 04070022 |
| | | Other, in shell, fresh: Of ducks |
| | | 04070023 |
| | | Other, in shell, fresh: Of geese |
| | | |
| | | 04070029 |

| S/No | HS Code/ Product Description (Vietnam) | HS Code/ Product Description (China) |
|------|---|---|
| | | 04070091 |
| | | Other |
| ļ | | Salted eggs |
| 1 | | 04070092 |
| | | Other |
| | | Lime-preserved eggs |
| | | 04070099 |
| | | Other |
| | | Other |
| | 0805 | 0805 |
| | Citrus fruit, fresh or dried | Citrus fruit, fresh or dried |
| 13. | 080530000 | 08055000 |
| | -Lemons (citrus liman, citrus limonum) and limes (citrus aurantifolia) | -Lemons (citrus liman, citrus limonum) and limes (citrus aurantifolia) |
| 14. | 080540000 | 08054000 |
| | -Grapefruit | -Grapefruit |
| 15. | 080590000 | 08059000 |
| | -Other | -Other |

2. China

With respect to the following countries:

- (a) Brunei: No exclusion of any product.
- (b) Indonesia: No exclusion of any product.
- (c) Myanmar: No exclusion of any product.
- (d) Singapore: No exclusion of any product.

- (e) Thailand: No exclusion of any product.
- B. The following parties have not completed their negotiations, and shall complete negotiations on their Exclusion Lists by 1 March 2003:
 - 1. Lao PDR
 - 2. Malaysia
 - 3. Philippines
 - 4. China, with respect to Lao PDR, Malaysia and the Philippines

<u>Specific Products Covered By The Early Harvest Programme</u> <u>Under Article 6(3)(a)(iii)</u>

- A. Brunei and Singapore shall be parties to any arrangements that have been agreed on or will be agreed to between China and any other Party pursuant to Article 6(3)(a)(iii). Brunei and Singapore shall, as soon as is practically possible after the date of signature of this Agreement, provide the HS codes and product descriptions that will correspond to the HS codes and product descriptions of those specific products that have been agreed to or that will be agreed to between China and any other Party pursuant to Article 6(3)(a)(iii).
- B. The following parties have completed their negotiations with China and their specific products are as follows:
 - 1. Cambodia: Nil

| S/No | HS Code/ Product Description (China) | HS Code/ Product Description (Indonesia) |
|------|--|--|
| 1. | 09012200 | 090122000 |
| | -Coffee, roasted: Decaffeinated | Roasted, decaffeinated coffee |
| 2. | 15131100 | 151311000 |
| | -Coconut oil and its fractions: Crude oil | Crude coconut oil and fractions thereof |
| 3. | 15131900 | 151319000 |
| | -Coconut oil and its fractions: Other | Coconut copra oil (excl. crude) and fractions thereof |
| 4. | 15132100 | 151321000 |
| | -Palm kernel or babassu oil and fractions thereof: Crude oil | Crude palm kernel or babassu oil and fractions thereof |

2. Indonesia:

| S/No | HS Code/ Product Description | HS Code/ Product Description |
|------|---|-----------------------------------|
| | (China) | (Indonesia) |
| 5, | 15132900 | 151329000 |
| | -Palm kernel or babassu oil and | Palm kernel or babassu oil (excl. |
| | fractions thereof: | crude) and fraction |
| | other | erude) and fraction |
| | | |
| 6. | 15162000 | 151620000 |
| | -Vegetable fats and oils and fractions | Vegetable fats and oils and their |
| | thereof | fractions, hydrogena |
| | | |
| 7. | 15179000 | 151790000 |
| | Margarine; edible mixtures or | Edible prep of fats or oils, nes |
| | preparations of animal or vegetable | • • |
| | fats or oils or of fractions of different | |
| | fats or oils of this chapter, other than | |
| | edible fats or oils or their fractions of | |
| | heading No. 15.16: | |
| | -Other | |
| 8, | 18061000 | 180610000 |
| | | |
| | -Cocoa powder, containing added | Cocoa powder with added sugar of |
| | sugar or other sweetening matter | other sweetening |
| 9. | 34011990 | 340119900 |
| | -Soap and organic surface-active | Soap and organic surface active |
| | products and preparations, in the form | product in bars, etc |
| | of bars, cakes, moulded pieces or | 1 |
| | shapes, and paper, wadding, felt and | |
| | nonwovens, impregnated, coated or | |
| | covered with soap or detergent: | |
| | Other: | |
| | Other | |
| 10. | 34012000 | 340120000 |
| | -Soap in other forms | Soap in other forms, |
| | -soup in other torms | nes |
| | | |
| 11. | 40169200 | 401692000 |
| | Other articles of vulcanized rubber | Erasers of vulcanized rubber |
| | other than hard rubber: | |
| | -Other: | |
| | | |

| S/No | HS Code/ Product Description (China) | HS Code/ Product Description (Indonesia) |
|------|--|--|
| 12. | 70112010 | 701120100 |
| | -For cathode-ray tubes: Anti-dazzle glass | Antihalo glass, evelopes for cathode ray tubes |
| 13. | 94015000 | 940150000 |
| | -Seats of cane, osier, bamboo or similar materials | Seats of cane, osier, bamboo or similar materials |
| | | 940150900 |
| | | Seats of other rattan |
| 14. | 94038010 | 940380100 |
| | -Furniture of other materials, including cane, osier, bamboo or similar materials: Of cane, osier, bamboo or similar materials | Furniture of cane, osier, bamboo or similar materials |

- 3 Lao PDR: Nil
- 4. Myanmar: Nil
- 5. Thailand:

| S/No | HS Code/ Product Description (China) | HS Code/ Product Description (Thailand) | | | |
|------|---|--|--|--|--|
| 1 | 27011100 | 2701110008 | | | |
| | Anthracite | Anthracite, whether or nor pulverized, but not agglomerated | | | |
| 2 | 27040010 | 2704000904 | | | |
| | Coke and Semi-coke | Coke and Semi-Coke of Coal, of Lignite or of Peat | | | |

6. Vietnam: Nil

- C. The following parties have not completed their negotiations with China, and shall complete negotiations on their specific products by 1 March 2003:
 - 1. Malaysia
 - 2. Philippines

Annex 3

A. Product Categories For Tariff Reduction And Elimination Under Article 6(3)(b)(i)

The 3 product categories are defined as follows:

(i) <u>Category 1</u>

For China and ASEAN 6, this refers to all products with applied MFN tariff rates higher than 15%.

For the newer ASEAN Member States, this refers to all products with applied MFN tariff rates of 30% or higher.

(ii) <u>Category 2</u>

For China and ASEAN 6, this refers to all products with applied MFN tariff rates between 5% (inclusive) and 15% (inclusive).

For the newer ASEAN Member States, this refers to all products with applied MFN tariff rates between 15% (inclusive) and 30% (exclusive).

(iii) <u>Category 3</u>

For China and ASEAN 6, this refers to all products with applied MFN tariff rates lower than 5%.

For the newer ASEAN Member States, this refers to all products with applied MFN tariff rates lower than 15%.

B. Implementation Timeframes Under Article 6(3)(b)(i)

The Early Harvest Programme shall be implemented no later than 1 January 2004 as follows:

(i) <u>China and ASEAN 6</u>:

| Product Category | Not later than 1 Jan 2004 | Not later than 1 Jan 2005 | Not later than 1 Jan 2006 |
|---------------------|---------------------------------|---------------------------------|---------------------------------|
| 1 | 10% | 5% | 0% |
| 2 | 5% | 0% | 0% |
| 3 | 0% | 0% | 0% |

(ii) the newer ASEAN Member States:

Product Category 1

,

| Country | Not later than 1 Jan 2004 | Not later than 1 Jan 2005 | | Not later than 1 Jan 2007 | Not later than 1 Jan 2008 | Not later than 1 Jan 2009 | Not Jater than 1 Jan 2010 |
|---------------------------|---------------------------------------|---------------------------------------|-----|---------------------------------------|---------------------------------------|---------------------------------------|---------------------------------------|
| Viet Nam | 20% | 15% | 10% | 5% | 0% | 0% | 0% |
| Lao PDR and Myanmar | _ | | 20% | 14% | 8% | 0% | 0% |
| Cambodia | - | - | 20% | 15% | 10% | 5% | 0% |

Product Category 2

| Country | Not later than 1 Jan 2004 | Not later than 1 Jan 2005 | Not later than 1 Jan 2006 | Not later than 1 Jan 2007 | Not later than 1 Jan 2008 | Not later than 1 Jan 2009 | Not later than 1 Jan 2010 |
|---------------------------|---------------------------------------|---------------------------------------|---------------------------------------|---------------------------------------|---------------------------------------|---------------------------------------|---------------------------------------|
| Viet Nam | 10% | 10% | 5% | 5% | 0% | 0% | 0% |
| Lao PDR and Myanmar | - | - | 10% | 10% | 5% | 0% | 0% |
| Cambodia | - | - | 10% | 10% | 5% | 5% | 0% |

Product Category 3

)

)

| Country | Not later than 1 Jan 2004 | Not later than 1 Jan 2005 | Not later than 1 Jan 2006 | Not later than 1 Jan 2007 | Not later than 1 Jan 2008 | Not later than 1 Jan 2009 | Not later than 1 Jan 2010 |
|---------------------------|---------------------------------------|---------------------------------------|---------------------------------------|---------------------------------------|---------------------------------------|---------------------------------------|---------------------------------------|
| Viet Nam | 5% | 5% | 0-5% | 0-5% | 0% | 0% | 0% |
| Lao PDR and Myanmar | - | - | 5% | 5% | 0-5% | 0% | 0% |
| Cambodia | - | - | 5% | 5% | 0-5% | 0-5% | 0% |

37

Annex 4

Activities Under Article 6(5)

- (a) Acceleration of the implementation of the Singapore-Kunming Rail Link and Bangkok-Kunming Highway projects under the framework of ASEAN Mekong Basin Development Cooperation (AMBDC) and the Greater Mekong Sub-region (GMS) Programme respectively.
- (b) Implementation of the mid-term and long-term plans for the allround development of the Greater Mekong Sub region (GMS) mapped out by the 1st GMS Summit held in Cambodia.
- (c) Designation of the focal points in ASEAN Member States and China as the centres to facilitate and promote, through specific procedures and mechanisms to be developed, trade and investment between the Parties.
- (d) Exploration of the possibility to develop the mutual recognition arrangements in areas of mutual interests, for example, agricultural products, electronic and electrical equipment, and completed within agreed timeframes.
- (e) Establishment of co-operation mechanism between standards and conformity authorities of the Parties with a view to enhancing trade facilitation and co-operation in other areas.
- (f) Implementation of a Memorandum of Understanding on Agricultural Cooperation between the Parties signed in November 2002.
- (g) Conclusion of a Memorandum of Understanding on cooperation between the Parties in information and communications technology sector.
- (h) Development of specific programmes with a view to further strengthening co-operation in the area of human resources

development, utilising, among other mechanisms, the ASEAN-China Cooperation Fund.

- (i) Establishment of specific technical programmes with a view to further assisting the newer ASEAN Member States to build their capacity for regional integration and facilitation of the WTO accession process of the non-WTO ASEAN Member States.
- (j) Establishment of co-operation mechanism between customs authorities of the Parties with a view to enhancing trade facilitation and co-operation in other areas.
- (k) Establishment of co-operation mechanism between the relevant authorities of the Parties in the field of Intellectual Property Rights protection.